

# CMPT 210: Probability and Computation

## Lecture 2

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May 13, 2022

## Counting sets - using the generalized product rule

**Q:** Suppose we have  $p$  prizes to be handed amongst the set  $A$  of  $n$  students. What are the number of ways in which we can distribute the prizes? **Ans:** Consider sequences of length  $p$  where element  $i$  is the student that receives prize  $i$ . The element  $i$  can be one of  $n$  students. The number of sequences is equal to  $|A \times A \times \dots| = |A|^p = n^p$ .

Suppose we have  $p$  prizes to be handed amongst the set  $A$  of  $n$  students. What are the number of ways in which we can distribute the prizes such that each prize goes to a different student i.e. no student receives more than one prize?

Consider sequences of length  $p$ . The first entry can be chosen in  $n$  ways (the first prize can be given to one of the  $n$  students). After the first entry is chosen, since the same student cannot receive the prize, the second entry can be chosen in  $n - 1$  ways, and so on. Hence, the total number of ways to distribute the prizes  $= n \times (n - 1) \times \dots \times (n - (p - 1))$ .

**Generalized product rule:** If  $S$  is the set of length  $k$  sequences such that the first entry can be selected in  $n_1$  ways, after the first entry is chosen, the second one can be chosen in  $n_2$  ways, and so on, then  $|S| = n_1 \times n_2 \times \dots \times n_k$ . If  $n_1 = n_2 = \dots = n_k$ , we recover the product rule.

## Counting Sets - Example

**Q:** A dollar bill is defective if some digit appears more than once in the 8-digit serial number. What is the fraction of non-defective bills?

In order to compute the fraction of non-defective bills, we need to compute the quantity

$$\frac{|\text{serial numbers with all different digits}|}{|\text{possible serial numbers}|}.$$

For computing  $|\text{possible serial numbers}|$ , each digit can be one of 10 numbers. Hence,  $|\text{possible serial numbers}| = 10 \times 10 \dots = 10^8$ .

For computing  $|\text{serial numbers with all different digits}|$ , the first digit can be one of 10 numbers. Once the first digit is chosen, the second one can be chosen in 9 ways, and so on. By the generalized product rule,  $|\text{serial numbers with all different digits}| = 10 \times 9 \times \dots \times 3 = 1,814,400$ .

$$\text{Fraction of non-defective bills} = \frac{1,814,400}{10^8} = 1.8144\%.$$

# Permutations

A permutation of a set  $S$  is a sequence of length  $|S|$  that contains every element of  $S$  exactly once.

Permutations of  $\{a, b, c\}$  are  $(a, b, c), (a, c, b), (b, c, a), (b, a, c), (c, a, b), (c, b, a)$ .

Given a set of size  $n$ , what is the total number of permutations?

Considering sequences of length  $n$ , the first entry can be chosen in  $n$  ways. Since each element can be chosen only once, the second entry can be chosen in  $n - 1$  ways, and so on.

By the generalized product rule, the number of permutations  $= n \times (n - 1) \times \dots \times 1$ .

**Factorial:**  $n! = n \times (n - 1) \times \dots \times 1$ . By convention:  $0! = 1$ .

How big is  $n!$ ? **Stirling approximation:**  $n! \approx \sqrt{2\pi n} \left(\frac{n}{e}\right)^n$ .

**Q:** Which is bigger?  $n!$  vs  $n(n - 1)(n + 2)(n - 3)!$ ? **Ans:**

$n! = n(n - 1)(n - 2)(n - 3)! < n(n - 1)(n + 2)(n - 3)!$ .

**Q:** In how many ways can we arrange  $n$  people in a line? **Ans:**  $n!$

## Counting sets - Division rule

**$k$ -to-1 function:** Maps exactly  $k$  elements of the domain to every element of the codomain.

If  $f : A \rightarrow B$  is a  $k$ -to-1 function, then,  $|A| = k|B|$ .

**Example:**  $E$  is the set of ears in this room, and  $P$  is the set of people. Then  $f$  mapping the ears to people is a 2-to-1 function. Hence,  $|E| = 2|P|$ .

**Q:** If  $f : A \rightarrow B$  is a  $k$ -to-1 function, and  $g : B \rightarrow C$  is a  $m$ -to-1 function, then what is  $|A|/|C|$ ?

**Ans:**  $|A| = k|B| = km|C|$ . Hence  $|A|/|C|$  is  $km$ .

**Q:** If  $f : A \rightarrow B$  is a  $k$ -to-1 function, and  $g : C \rightarrow B$  is a  $m$ -to-1 function, then what is  $|A|/|C|$ ?

**Ans:**  $|A| = k|B|$ .  $|C| = m|B|$ .  $|A|/|C| = \frac{k}{m}$ .

## Counting sets - Example

In how many ways can we arrange  $n$  people around a round table? Two seatings are considered to be the same *arrangement* if each person sits with the same person on their left in both seatings.

Starting from the head of the table, and going clockwise, each seating has an equivalent sequence.  $|\text{seatings}| = \text{number of permutations} = n!$ .

$n$  different seatings can result in the same arrangement (by clockwise rotation).

Hence,  $f : \text{seatings} \rightarrow \text{arrangements}$  is an  $n$ -to-1 function. Hence, the  $|\text{seatings}| = n |\text{arrangements}|$ , meaning that the  $|\text{arrangements}| = (n - 1)!$ .

Questions?

# Counting subsets

How many size  $k$  subsets of an size  $n$  set are there? Example: How many ways can we select 5 books from 100?

Let us form a permutation of the  $n$  elements, and pick the first  $k$  elements to form the subset. Every size  $k$  subset can be generated this way. There are  $n!$  total such permutations.

The order of the first  $k$  elements in the permutation does not matter in forming the subset, and neither does the order of the remaining  $n - k$  elements.

The first  $k$  elements can be ordered in  $k!$  ways and the remaining  $n - k$  elements can be ordered in  $(n - k)!$  ways. Using the product rule,  $k! \times (n - k)!$  permutations map to the same size  $k$  subset.

Hence, the function  $f : \text{permutations} \rightarrow \text{size } k \text{ subsets}$  is a  $k! \times (n - k)!$ -to-1 function. By the division rule,  $|\text{permutations}| = k! \times (n - k)! |\text{size } k \text{ subsets}|$ . Hence, the total number of size  $k$  subsets  $= \frac{n!}{k! \times (n - k)!}$ .

$n$  choose  $k = \binom{n}{k} := \frac{n!}{k! \times (n - k)!}$ .



## Counting subsets

**Q:** Prove that  $\binom{n}{k} = \binom{n}{n-k}$  - both algebraically (using the formula for  $\binom{n}{k}$ ) and combinatorially (without using the formula)

**Ans:** Algebraically,  $\binom{n}{k}$  is symmetric with respect to  $k$  and  $n - k$ . Combinatorially, number of ways of choosing elements to form a set of size  $k$  = number of ways of choosing  $n - k$  elements to discard.

**Q:** Which is bigger?  $\binom{8}{4}$  vs  $\binom{8}{5}$ ? **Ans:**  $\binom{8}{4} = 70$ .  $\binom{8}{5} = 56$

## Counting subsets - Example

How many  $m$ -bit binary sequences contain exactly  $k$  ones?

Consider set  $A = \{a_1, \dots, a_m\}$ . If we select a  $S$ , a subset of size  $k$ , say  $m = 10, k = 3$  and  $S = \{a_3, a_7, a_{10}\}$ . Subset  $S$  can be mapped to the sequence 001 0001 001. Similarly, every  $m$ -bit sequence with exactly  $k$  ones can be mapped to a subset of size  $k$ .

Hence, there is a bijection:

$f : m\text{-bit sequence with exactly } k \text{ ones} \rightarrow \text{subsets of size } k \text{ from size } m \text{ set, and}$   
 $|m\text{-bit sequence with exactly } k \text{ ones}| = |\text{subsets of size } k| = \binom{m}{k}.$

Recall that the number of ways of selecting 10 donuts with 5 varieties = number of 14-bit sequences with exactly 4 ones =  $\binom{14}{4} = 1001$ .

**Q:** What is the number of ways of choosing  $n$  things with  $k$  varieties?

**Ans:** Equal to the number of  $n + k - 1$ -bit sequences with exactly  $k - 1$  ones =  $\binom{n+k-1}{k-1}$ .